

#### Meeting note

Status Final

**Author** The Planning Inspectorate

**Date** 9 January 2020

**Meeting with** Independent Commission on Civil Aviation Noise (ICCAN)

**Venue** Planning Inspectorate offices, Bristol

**Meeting** Introduction and general process meeting

objectives

**Circulation** All attendees

#### Summary of key points discussed and advice given:

The Planning Inspectorate (the Inspectorate) advised that a note of the meeting would be taken and published on its website in accordance with section 51 of the Planning Act 2008 (PA2008). Any advice given under s51 would not constitute legal advice upon which applicants (or others) could rely.

#### **ICCAN** introduction and overview

The Independent Commission on Civil Aviation Noise (ICCAN) introduced itself as a non-statutory, advisory body created to provide independent, impartial advice to government, regulators and the UK aviation industry. ICCAN distinguished itself from the proposed Independent Aviation Noise Authority (IANA) as described in the 2015 Airports Commission report. Following consultations undertaken by the Government in 2016 and 2017, it decided to establish ICCAN as a non-statutory advisory body rather than a statutory enforcement body such as IANA.

ICCAN displayed a PowerPoint presentation introducing its members, work so far, corporate strategy, two-year plan and longer term aims. The presentation slides are provided at **Annex A**. ICCAN highlighted that it planned to make recommendations on the future model of aviation noise regulation, and ICCAN's role within it, as part of a review its role at the end of its two-year plan, in line with discussions with the Department of Transport (DfT).

ICCAN explained that it had been operating since January 2019. ICCAN explained that it was in the process of appointing a panel of experts to advise its board.

Whilst being explicitly referenced in the Airports National Policy Statement (ANPS), which relates to the proposed expansion of Heathrow (third runway), ICCAN clarified that its remit extended to aviation schemes throughout the United Kingdom. ICCAN

highlighted that it had engaged with a number of airport developers and community groups in respect of aviation noise issues across the UK.

In relation to the expansion of Heathrow project, ICCAN stated that it had met with Heathrow Airport Ltd (HAL), the Heathrow Strategic Planning Group (HSPG), Heathrow Community Engagement Board (HCEB) and many community groups and individuals. ICCAN also published a formal response to HAL's Statutory Consultation in September 2019, which is available here: <a href="https://iccan.gov.uk/iccan-response-heathrow-airport-expansion-consultation-sept-2019/">https://iccan.gov.uk/iccan-response-heathrow-airport-expansion-consultation-sept-2019/</a>.

ICCAN stated that it had attended meetings of the Heathrow noise envelope design groups as an observer, and had been invited to observe the same at Luton airport, highlighting that it had not become a working member of such groups in order to maintain its independent status, which it considers to be a critical part of its function. ICCAN briefly outlined its relationship with other organisations including Public Health England and the Civil Aviation Authority (CAA) and engagement to date.

Trends in future aviation technology were discussed. ICCAN noted that it monitors development in technologies such as supersonic planes and electric aircraft. The potential timeline for introduction of such technologies was discussed.

#### PA2008 process

The Inspectorate displayed a PowerPoint presentation, provided at **Annex B**, which explained the various stages and associated timeframes of the PA2008 process and highlighted those stages that have clearly defined statutory deadlines attached to them.

The Inspectorate set out that the PA2008 process is frontloaded where applicants conduct the bulk of the work, such as relevant environmental assessment and consultation, before submission to offer certainty over what is being applied for. ICCAN asked how long the Pre-application stage lasts. The Inspectorate advised there was no statutory timeframe associated with Pre-application, noting that it would typically be no less than a year.

ICCAN queried the basis for defining a project as a Nationally Significant Infrastructure Project (NSIP). The Inspectorate advised that the PA2008 sets out the criteria and thresholds that define what makes a scheme an NSIP. The Secretary of State (SoS) can also make directions under s35 of the PA2008 to allow schemes deemed 'nationally significant', which fall outside the defined thresholds to be examined through the regime. ICCAN enquired how the Inspectorate is made aware of projects in Pre-application. The Inspectorate explained that applicants have a duty under s46 of the PA2008 to notify the Inspectorate before they commence Statutory Consultation.

The Inspectorate outlined the Acceptance stage: a period of 28 days (starting with the day after submission) for the Inspectorate to determine whether to allow the application to progress to examination. The Inspectorate noted the provisions of s55 of the PA2008. The Inspectorate explained that it makes the Acceptance decision under delegated authority from the SoS for Housing, Communities and Local Government.

The Inspectorate provided an overview of the Pre-examination stage and the Relevant Representation (RR) period. The statutory minimum for the RR period is 30 days; it is for applicants to determine the time given for people to register as Interested Parties (IP). The Inspectorate highlighted the factors that could affect the overall length of the Pre-examination stage included the length of the RR period and when the ExA is appointed. The Inspectorate noted that the Pre-examination stage ends at the close of the Preliminary Meeting (PM) - a procedural meeting in which all registered IPs and Statutory Consultees are invited to attend and influence how the Examination should be conducted.

ICCAN queried how long the Pre-examination stage is and who makes the decision on extending the Pre-examination stage. The Inspectorate noted that there is no statutory timeframe for the Pre-examination period, although typically it is three to four months in duration.

The Inspectorate stated that ExAs are appointed by the Inspectorate on behalf of the SoS. ExAs can be formed of single inspectors or panels of up to five inspectors. It was noted that aviation NSIPs are likely to have a panel of four to five inspectors.

The Inspectorate outlined that the six-month Examination stage is structured by an Examination Timetable. The Examination formally begins once the PM has been held. The Inspectorate emphasised that the Examination is predominantly a written process, with supplementary provision for various types of hearings.

The Inspectorate provided an overview of the different types of hearings and the triggers for when certain hearings must be held – namely Issue Specific Hearings; Compulsory Acquisition Hearings and Open Floor Hearings. The Inspectorate couldn't pre-empt the hearings held during an examination of HAL's scheme.

The Inspectorate emphasised that ExAs conduct examinations in an inquisitorial manner and decide following receipt of written submissions, what evidence requires further probing and testing through written questions and hearings.

There was high-level discussion about ICCAN's potential role in the examination process. ICCAN noted that its high-level aim to engage in examinations is set out in its corporate strategy and suggested that it may register as an IP at Pre-examination. The level of engagement anticipated at examinations was discussed.

The Inspectorate signposted to its Advice note eleven<sup>1</sup> (AN11) which explains how it works with public bodies and the specific roles with key statutory consultees such as Natural England and the Environment Agency are included as annexes to AN11; the possibility of a combined CAA-NATS and ICCAN annex to AN11 was discussed.

The Inspectorate explained that following the close of the six-month Examination, the ExA has three months to draft and submit its Recommendation Report to the relevant SoS, who in turn has a further three months to make a decision on whether consent is granted. For aviation schemes, the relevant SoS is the SoS for Transport.

The Inspectorate explained that applicants submit a draft Development Consent Order (DCO) on application but this may evolve during the Examination. The ExA also provides a revision of the DCO – its Recommended draft DCO - which is the best-case

<sup>&</sup>lt;sup>1</sup> https://infrastructure.planninginspectorate.gov.uk/wp-content/uploads/2014/12/Advice-note-11-v3 1.pdf

scenario to accompany its Recommendation Report. The Recommended draft DCO is provided even if consent is not recommended as the SoS may conversely decide to grant consent.

The Inspectorate advised that the SoS issues a 'Statement of Reasons', setting out its reasoning for whether consent was or wasn't granted, alongside its Decision. The SoS may also make minor amendments to the DCO. If larger changes are required, formal consultation would need to be conducted before the Decision can be issued and the implications of the changes for the examination process must be considered.

ICCAN queried which aviation scheme would be submitted next in light of HALs recent announcement of a delay to its programme for application to Late 2020. The Inspectorate stated that based on current dates set out in the Inspectorate's website it would be the London Luton Airport (LLA) expansion application, which is currently predicted for summer 2020.

The Inspectorate queried if ICCAN had engaged with other airports. ICCAN noted that it had visited and met with over 20 airports in the UK, and had further more regular engagement with LLA, HAL, Gatwick, Edinburgh and Manchester.

ICCAN noted the overlapping nature of the proposed HAL and Heathrow West applications and enquired whether the two Heathrow schemes would be examined together simultaneously. The Inspectorate highlighted that each scheme would be subject to examination and that Heathrow West was likely to lag approximately three months behind the HAL application.

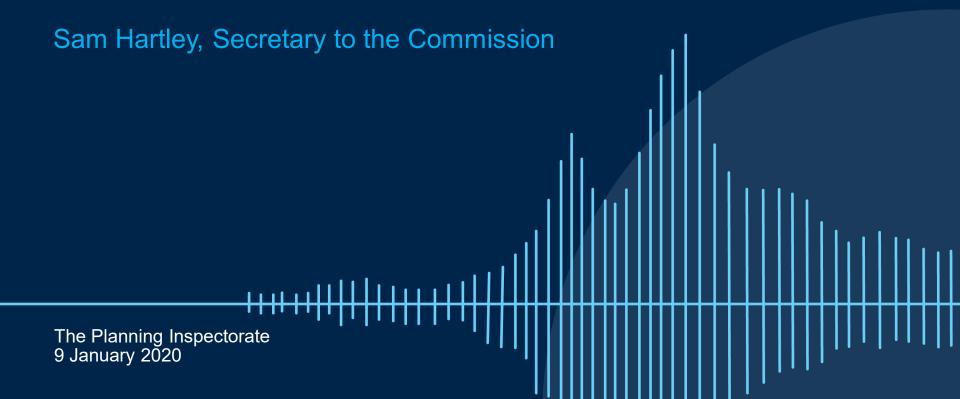
#### **Next steps**

There was discussion regarding further meetings. ICCAN suggested that an engagement plan should be agreed going forward.

#### **Annex A**



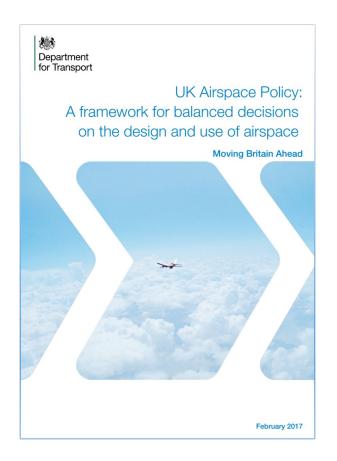
# An introduction to ICCAN





## ICCAN – a potted history







## ICCAN – a potted history



Consultation Response on UK Airspace Policy: A framework for balanced decisions on the design and use of airspace

**Moving Britain Ahead** 



# (ICCAN

#### **ICCAN Board**



#### Rob Light, Head Commissioner

- Colin Noble, Commissioner
- Howard Simmons, Commissioner
- Simon Henley, Commissioner
- Simon Kahn, Commissioner
- Sam Hartley, Secretary to the Commission



## Challenges for UK Aviation

- 30-year draft aviation strategy
- Airspace modernisation
- London & South East England
- Devolution
- Climate and air quality
- Future challenges



Aviation 2050
The future of UK aviation

A consultation



Cm9714

December 2018



### ICCAN – our work so far

- Established in January 2019
- Since met with hundreds of stakeholders
- Over 100 people commented on our priorities and plans
- Launched our 2-year Corporate
   Strategy in July





## Our two-year aim:

To improve public confidence and trust in the management of aviation noise, by building our expertise, credibility and profile across the UK



To improve public confidence and trust in the management of aviation noise, by building our expertise, credibility and profile across the UK

Strategic objective 1: increase trust transparency and clarity in the aviation noise debate

Strategic objective 2: promote consistency, responsibility and accountability within the industry and beyond

Strategic objective 3: establish our expertise, authority and credibility



#### **ICCAN** key plans and milestones

Reviewing the Survey of Noise Attitudes

- December 2019

 Publishing best practice for airports on how they engage and consult around airspace change - April 2020

Producing an opinion on noise metrics

- April 2020

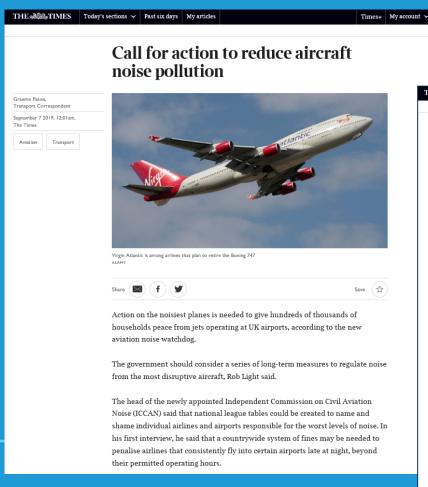
Publishing recommendations for regulation

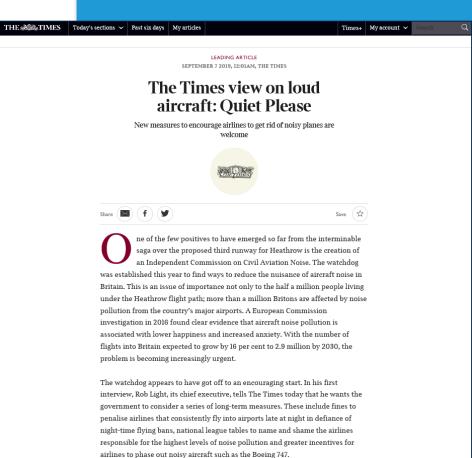
- September 2020



## A growing profile...

The Times – News and Editorial, 7 September







#### The ANPS and ICCAN's work with Heathrow

- Context of ANPS and timing of ICCAN set up
- Our work with Heathrow so far:
  - Bilateral meetings
  - Responses to consultations
  - Noise Envelope Design Group observer
- Discussions about future relationship; and with other airports
- Risks; and our role in relation to inquires/expansion determinations
- The future of ICCAN / noise advisory body / noise regulation



# Questions



#### **Annex B**



# The Planning Act 2008 process



# **Principles**





# **High-profile projects**

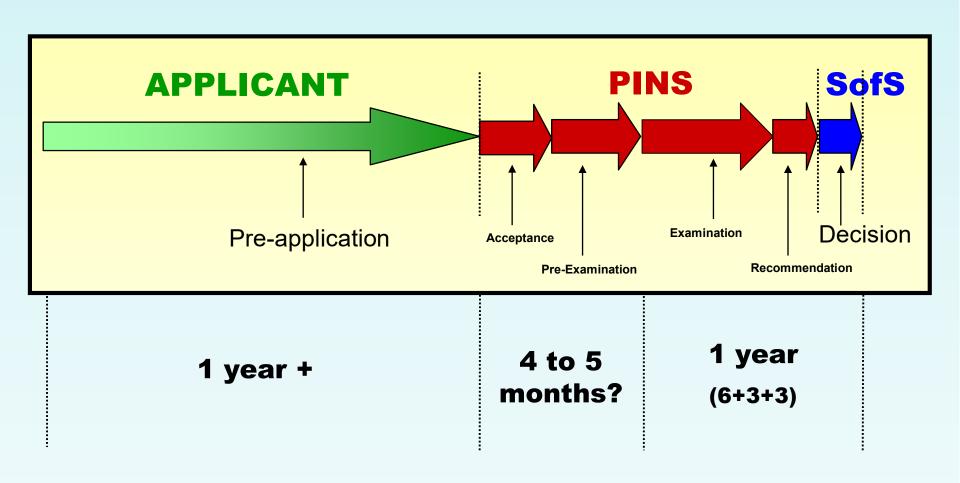
- Open, transparent, impartial
- National/international interest
- Government interest
- Education outreach

- Media/social media
- Risk management
- Resource management
- Legal challenge



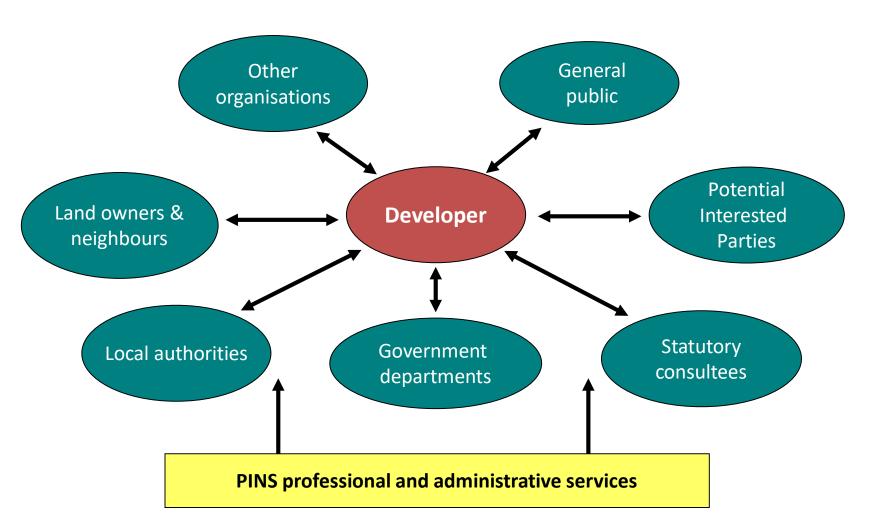


# The PA2008 process





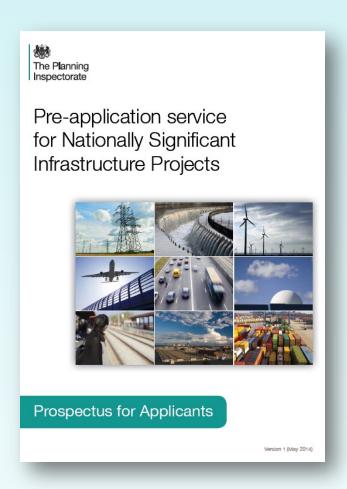
# Pre-application engagement model





## The Pre-application service

- Pre-application Prospectus
- Not just for Applicants
- s51 advice
- Outreach
- Draft documents review
- Better Pre-application engagement = smoother examination





# The Applicant's role



- EIA (PEIR)
- Non-statutory/ statutory consultation
- Design evolution (mitigation)
- Assemble Order lands
- Prepare application documents
- Total application: No shocks!



### **Pre-application for statutory consultees**

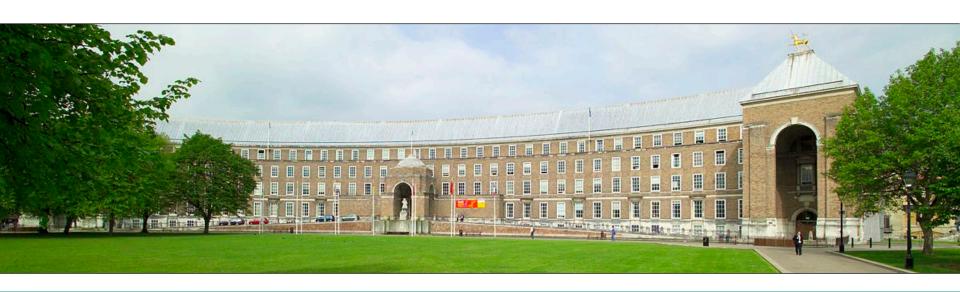
- EIA consultation bodies (Scoping)
- Statutory Government advisors
- Statutory Undertakers
- Protective Provisions
- Early and ongoing dialogue!
- Other consents/ licences
- Statements of Common Ground





## **Pre-application for local authorities**

- Statutory consultee
- 'Community Champions' (SoCC)
- Arrange delegations
- Joint working?
- PPAs?





#### Role of local authorities

#### Pre-application

#### Acceptance

28 days for PINS / SoS

accept the application for

examination (14 days for

local authority to submit adequacy of consultation

to decide whether to

representation)

#### Pre-examination Examination

#### **Post Decision**

28 days to provide comment on draft Statement of Community Consultation (SoCC)

Respond to developer consultation about the scheme (s42)

Discuss with developer about Section 106 agreements and requirements

Local authorities are advised to begin work / arrange delegations for Local Impact Reports / Statement of Common Ground (SoCG)

Local authorities are advised to consider and make arrangements for joint working with other local authorities

Agree the terms of any planning performance agreement with the developer

Respond to the invitation to the preliminary meeting (rule 6 letter)

> Consider the draft examination timetable and provide comments if necessary

Attend the Preliminary Meeting

Continue preparation of SoCG, LIR and written representation(s)

Prepare for examination - legal and specialist support?

Continue negotations with developer

Submit a relevant representation

Examination (maximum)

Take receipt of the procedural decision including the examination timetable (rule 8 letter)

Submit LIR SoCG and written representation early in examination

Attend and participate at hearings/ accompanied site visits

Submit a signed planning obligation by the deadline

Respond to ExA written questions and requests for further information

Comment on other interested parties' representations and submissions

6 months for

requirements and monitoring

Discharge of

Enforcement

Responding to notifications - non material and material change applications



# **Environmental considerations during Pre-application**

- NPS Assessment Principles
- Environmental Impact Assessment
  - Secretary of State EIA Scoping Opinion
- Preliminary Environmental Information (PEIR)
- Habitats Regulations Assessment
  - Evidence Plans
- Interest/ Access to Land (s52 & s53)
- Licences and permits
- Advice Notes





# Acceptance stage

- APFP Regulations
- 28 days to decide
- Full application
- Principal tests:
  - NSIP
  - Consultation Report
  - Adequacy of Consultation
  - Full suite of documents
  - Ensure all plans are correct
  - Satisfactory standard
- The Examining Authority







# Adequacy of Consultation Representations

"..."adequacy of consultation representation"
means a representation about
whether the applicant complied, in relation to
that proposed
application, with the applicant's duties under
sections 42, 47 and 48".
(Section 55 PA2008)

- Invitees: s43 local authorities
- Timeline (warm-up)
- SoS must have regard
- SoCC compliance





## **Pre-examination**

- Application accepted
- Examining Authority appointed
- Relevant Representations
- Initial Assessment of Principal Issues

- Preliminary Meeting
- Local authorities
  - Local Impact Report
  - SoCG



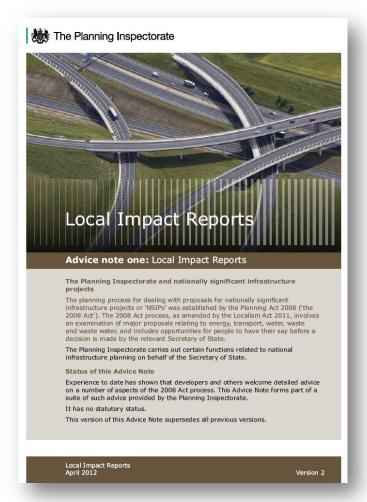


# **Local Impact Reports**

"...a report in writing giving details of the likely impact of the proposed development on the authority's area (or any part of that area)".

(Section 60 PA2008)

- Invitees: s56A local authorities / Greater London Authority
- ExA and SoS must have regard
- Positive, negative and neutral impacts on local area
- Joint LIR authorship
- Get ahead!



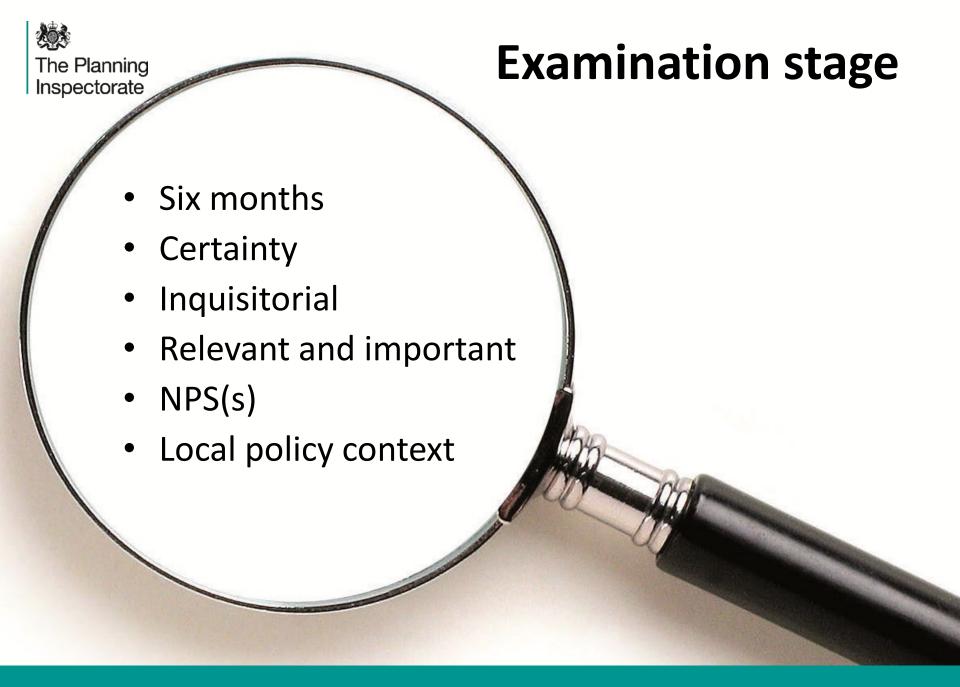
https://infrastructure.planninginspectorate.gov.uk/wp-content/uploads/2013/04/Advice-note-1v2.pdf



#### **Environmental matters**



- Environmental Statement and HRA Report
- Flexibility
- Securing mitigation
- Management/monitoring plans
- Licences and permits





# Recommendation stage

- ExA has three months to prepare and submit to SoS Recommendation Report taking account of:
  - National Policy Statement(s)
  - Local Impact Report(s)
  - Important and relevant matters
  - International obligations
- Recommended DCO included



# The Recommendation Report

- Clear, consistent, structured
- Definitive
- The case for the development
- The case for CA powers
- Changes to the dDCO
- In-house QA
- Loose ends?
- Robust to challenge





# **Decision stage**

- Relevant SoS has three months to take decision taking account of:
  - National Policy Statement(s)
  - Local Impact Report(s)
  - Important and relevant matters
  - International obligations
- Judicial review





## **Post-consent roles**

- Discharging authority
- Requirement consultees
- Monitoring/enforcement
- Appeals (Advice Note 15)

https://infrastructure.planninginspectorate.gov.uk/wp-content/uploads/2014/10/advice note 15 version 1.pdf

Material/non-material changes





## Legislation, guidance and advice



- Planning Act 2008 and Regs/Rules
- DCLG Guidance (statutory)
- PINS Advice notes (non-statutory)

https://infrastructure.planninginspectorate.gov.uk/legislation-and-advice/

